



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/973,792	10/11/2001	Efraim Berkovich	902.000/10108288	7240	
75	90 01/19/2006		EXAMI	NER	
Michael J. Strauss			TORRES, JOSEPH D		
Fulbright & Jaw		ART UNIT	PAPER NUMBER		
	ia Avenue, N.W.		TALEK NOMBER		
Washington, DC 20004-2615		2133			
			DATE MAILED: 01/19/2006		

Please find below and/or attached an Office communication concerning this application or proceeding.

### 

Application No.

Applicant(s)

	- '		İ			
	Joseph D. Torres	2133				
All participants (applicant, applicant's representative, PTO personnel):						
(1) <u>Joseph D. Torres</u> .	(3)					
(2) Michael J. Strauss.	(4)					
Date of Interview: <u>13 January 2006</u> .						
Type: a)☐ Telephonic b)☐ Video Conference c)☑ Personal [copy given to: 1)☐ applicant 2	²)⊠ applicant's representative	e]				
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description: <u>N/A</u> .	e)⊠ No.					
Claim(s) discussed: <u>1-26</u> .						
Identification of prior art discussed: Arnold; Richard F. et al. (US 4564944 A) & Berkovich et al.						
Agreement with respect to the claims f) was reached. g	)□ was not reached. h)⊠ N	I/A.				
Substance of Interview including description of the general reached, or any other comments: <u>Discussed amended lang</u>	nature of what was agreed to uage to overcome 112 issues	if an agreement	was			
(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)						
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER INTERVIEW DATE, OR THE MAILING DATE OF THIS INTIFILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW on reverse side or on attached sheet.	last Office action has already OF ONE MONTH OR THIRTY ERVIEW SUMMARY FORM, V	been filed, APPI 'DAYS FROM T WHICHEVER IS	LICANT IS THIS			

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required

### **Summary of Record of Interview Requirements**

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed.
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

# FAX TRANSMISSION

DATE:

January 9, 2006

PTO IDENTIFIER:

**Application Number** 

09/973,792-Conf. #7240

**Patent Number** 

Inventor:

Efraim Berkovich

**MESSAGE TO:** 

US Patent and Trademark Office

**FAX NUMBER:** 

571-273-3829

FROM:

FULBRIGHT & JAWORSKI L.L.P.

Michael J. Strauss

PHONE:

(202) 662-4632

Attorney Dkt. #:

714.001/10108288

PAGES (Including Cover Sheet): 3

CONTENTS:

Applicant Initiated Interview Request Form Certificate of Transmission (1 page)

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## **FULBRIGHT & JAWORSKI L.L.P.**

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PTC/SB/97 (09-04)
Approved for use through 07/31/2008. OMB 0681-0031
U. S. Petent and Tradamark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Attorney Docket No.: WO-714001

Application No. (if known): 09/973,792

## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.					
on January 9, 2006 . Date					

Michael J Strauss Typed or printed name of person signing Certificate 32,443 (202) 662-4632

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Applicant Initiated Interview Request Form

PTOL-413A (09-04)
Approved for use through 07/31/2006. OME 0851-0031
d Trademark Office: U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form								
Application No.: 09/9: Examiner: Joseph To	73.792 orres	First Named App Art Unit:2133	licant: <u>Efraim Be</u> Status of Ap	rkovich plication: Per	ding			
Tentative Participants: (1) <u>Michael</u> J. Str	rauss							
(3)	<del></del>	_ (4)						
Proposed Date of Inter	view: <u>Janua</u>	ry 12, 2006	Proposed T	ime: 2:00	(AM/PM)			
	Type of Interview Requested: (1) [   Telephonic (2) XX Personal (3) [ ] Video Conference							
Exhibit To Be Shown o If yes, provide brief des	r Demonstra scription:	ited: [ ] YES	kat NO	···········	<del></del>			
		Issues To Be I	Discussed		· · · ·			
	Claims/ Fig. #9	Prior	Discussed	Agreed	Not Agreed			
(1)		Art	[]	[ ]	[]			
(2)			Į ]	[]	[]			
(3)			[]	[]	[]			
(4) [ ] Continuation Sheet A	Attached		[]	[]	[]			
Brief Description of Ary Meaning of "pair	guments to b	e Presented:	est managed to	end by Ann	14			
disclosure and po	saible cl	aim language er	mphasizing thi	s feature	of the			
An interview was conducted on the above-identified application on								
Applicant/Applicant's  Michael J. Stra: Typed/Printed Name of	Representativ	ve Signature		iner/SPE Signa				
32,443  Registration Nu		•						

This collection of information is required by 37 CFR 1.133. The information is required to obtain or remin a benefit by the public which is to file (and by the USPTO to process) as application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1456, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patenta, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.